PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/539,689
Filing Date	June 16, 2005
First Named Inventor	Brocke, Rolf
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	85934.000054
Confirmation Number:	4956

	ENCLOSURES (check all that apply)					
⊠	Fee Transmittal			Drawing(s)		After Allowance communication to
	☐ Fee Attached			Licensing-related Papers		Group Appeal Communication to Board of Appeals and Interferences
	Amendment/Reply			Petition		Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
	☐ After Final			Petition to Convert to a Provisional Application		Proprietary Information
	☐ Affidavit/declar	ration(s)	⊠	Power of Attorney, Revocation Change of Correspondence Address		Status Letter
	Extension of Time F	Request		Terminal Disclaimer	⊠	Acknowledgement Postcard
	Express Abandonm	ent Request		Request for Refund	⊠	Other Enclosure(s) (please identify below):
	Information Disclose	ure Statement		CD, Number of CD(s)		Statement Under 37 CFR 3.73(b) w/ copy of Assignment; Inventor's Declaration; Eng. Translation of PCT claims; and Copy of Notification Of Missing Req.
	Certified Copy of Priority Document(s)		Rer	narks	-	
 ✓ Response to Missing Parts/ Incomplete Application ✓ Response to Missing Parts under 35 U.S.C. 371 						
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Stephen B. Sala Individual name Signature		ai, 26,990, HARTER, SECREST & EMERY LLP				
Date February 16, 2006			06			

CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Type or printed name	Mary A. DiPaolo				
Signature	Mary a. Dtallo	Date	February 16, 2006		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No:

4956

Applicant:

Brocke, Rolf

Atty. Docket:

85934.000054

Application No.:

10/539,689

Examiner:

To Be Assigned

Filed:

June 16, 2005

Art Unit:

To Be Assigned

Title:

SEALING ARRANGEMENT, ESPECIALLY FOR SEALING WINDOW

PANES OF A MOTOR VEHICLE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Mail Stop MISSING PARTS Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 mailed January 3, 2006, Applicant encloses the executed Declaration of the Inventor and Translation of the claims of the PCT priority application.

Also enclosed is a Power of Attorney, Statement Under 37 CFR 3.73(b) and a duplicate copy of the Notification of Missing Requirements. Please charge our deposit account number 03-3875 in the amount of \$130.00 representing the late declaration surcharge for a Large Entity.

For any questions on this, the Examiner is invited to call Applicant's representative at the telephone number listed below.

Dated: February 16, 2006

02/27/2006 MKAYPAGH 00000108 033875 10539689

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Respectfully submitted,

Stephen B. Salai, Registration No. 26,990

HARTER, SECREST & EMERY LLP

1600 Bausch & Lomb Place Rochester, New York, 14604

Telephone: 585-232-6500

Fax: 585-232-2152

PTO/SB/17 (12-04v2) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. FOR fuant to the Consolidated Appropriations Act, 2005 Complete if Known (H.R. 4818). **FEE TRANSMITTAL** Application Number 10/539,689 For FY 2005 Filing Date June 16, 2005 First Named Inventor Brocke, Rolf Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** To Be Assigned TOTAL AMOUNT OF PAYMENT (\$)\$130.00 Art Unit To Be Assigned 85934.000054 Attorney Docket No. METHOD OF PAYMENT (check all that apply Check | Credit Card | Money Order Other (please identify) None Deposit Account Deposit Account Number: 03-3875 Deposit Account Name: Harter, Secrest & Emery LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of Credit any overpayments fee(s)under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Small Small Entity** Fee **Entity Fee** <u>Fee</u> Entity Fee Fees Paid (\$) Application Type Fee (\$) Fee (\$) <u>(\$)</u> <u>(\$)</u> <u>(\$)</u> 500 250 200 100 Utility 300 150 Design 200 100 100 50 130 65 300 160 80 Plant 200 100 150 600 300 300 150 500 250 Reissue 100 0 200 Provisional 2. EXCESS CLAIM FEES <u>Small</u> **Entity Fee** Fee Description <u>Fee</u> (\$) (\$) 50 25 Each claim over 20 (including Reissues) 100 Each independent claim over 3 (including Reissues) 200 360 180 Multiple dependent claims **Total Claims Extra Claims** Fee (\$) Fee Paid (\$) Multiple Dependent Claims Fee Paid (\$) <u>Fee (\$)</u> HP = highest number of total claims paid for, if greater than 20 **Extra Claims** Fee Paid (\$) Indep. Claims Fee (\$) HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction Fee Paid (\$) **Total Sheets** Extra Sheets Fee (\$) thereof \$.00 0 (round up to a whole number) x \$125.00 = 0/50 =Fees Paid (\$) 4. OTHER FEE(S)

Non-English S	pecification, \$130 fee (no small entity of	discount)			
Other (e.g., late	<u></u>	\$130.00			
SUBMITTED BY					
Signature	& Salar	Registration No. (Attorney/Agent) 26,990		Telephone	585-231-1386
Name (Print/Type)	Stephen B. Salai		Date	February 16	, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS F.O. Dox 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

ATTY. DOCKET NO.

10/539,689

FIRST NAMED APPLICANT Rolf Brocke

85934.000054

CONFIRMATION NO. 4956

INTERNATIONAL APPLICATION NO.

PCT/EP03/14341

I.A. FILING DATE

PRIORITY DATE

12/16/2003

12/19/2002

23387 Stephen B. Salai, Esq. Harter, Secrest & Emery LLP 1600 Bausch & Lomb Place Rochester, NY 14604-2711

371 FORMALITIES LETTER

Missine

Date Mailed: 01/03/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2005
- Copy of the International Search Report filed on 06/16/2005
- U.S. Basic National Fees filed on 06/16/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Translation of the application into English. The current translation of the application into English is defective as described below. Note a processing fee will be required if submitted later than 30 months from the priority date.
 - The claims in the English translation submitted does not correspond with the claims in the published application. There are several multiple claims in the published application that are not in your English translation. I need the English translation of the published application to process this application. You will have to submit an amendment to correct the claims.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539,689	PCT/EP03/14341	85934.000054

FORM PCT/DO/EO/905 (371 Formalities Notice)